

Trustee's Proposed Exhibit #	Document Title	Description of Chart/Summary	Document Type Relied Upon to Create Exhibit, as Defined in Trustee's Opposition	Production Date for Underlying Data
1	BLMIS Participating Accounts	Chart summarizing pertinent information for BLMIS Participating Accounts, including: account name and number; whether it is a Direct or Indirect Account; whether a claim was filed, status, and objection; if there is an adversary proceeding pending; and whether there was a PW objection raised.	Customer Claims Claim Objections	9/11/2015 10/30/2015 5/13/2016 8/2/2016 Objections On Docket
2	BLMIS Direct PW Accounts	Chart summarizing pertinent information for BLMIS Direct PW Accounts; sorted by status as Participating or Non-Participating; identifying whether there is a customer file available; and indicating whether there were send or reinvest instructions on the face of the customer file.	Customer Claims Customer Files	9/11/2015 10/30/2015 5/13/2016 8/2/2016
3	BLMIS Direct PW Accounts with “Send” Instructions Only	Chart providing the bates rage for customer files in subset of Direct PW Accounts identified in Trustee’s Proposed Exhibit 2 where there were send instructions only on the face of the customer file.	Customer File, including Name/Address Form	9/11/2015 10/30/2015 5/13/2016 8/2/2016
4	BLMIS Direct PW Accounts with “Reinvest” Instructions Only	Chart providing the bates rage for customer files in subset of Direct PW Accounts identified in Trustee’s Proposed Exhibit 2 where there were reinvest instructions only on the face of the customer file.	Customer File, including Name/Address Form	9/11/2015 10/30/2015 5/13/2016 8/2/2016
5	BLMIS Direct PW Accounts with both “Send” and “Reinvest” Instructions	Chart providing the bates rage for customer files in subset of Direct PW Accounts identified in Trustee’s Proposed Exhibit 2 where there were both send and reinvest instructions on the face of the customer file.	Customer File, including Name/Address Form	9/11/2015 10/30/2015 5/13/2016 8/2/2016
6	BLMIS Direct PW Accounts without “Send” or “Reinvest” Instructions	Chart providing the bates rage for customer files in subset of Direct PW Accounts identified in Trustee’s Proposed Exhibit 2 where there were neither send nor reinvest instructions on the face of the customer file.	Customer File, including Name/Address Form	9/11/2015 10/30/2015 5/13/2016 8/2/2016
7-a	JPMC 703 Account Activity Summary	Chart aggregating information on cash activity in BLMIS’s JPMC 703 account for the period December 1998–December 2008, including number and amount of transaction by type, transmittal method (<i>e.g.</i> , check or wire transfer, and source/recipient).	BLMIS Bank Records Customer Statements	12/4/2015
7-b	JPMC 703 Account Activity Transaction Detail	Chart providing details and data used to create Trustee’s proposed exhibit 7-a, including pertinent transaction details and bates references to underlying source materials.	BLMIS Bank Records Customer Statements	12/4/2015

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7-c	JPMC 703 Account Activity Multiple Transaction Detail	Chart providing additional details and data used to create Trustee's proposed exhibit 7-a, but detailing instances where multiple transactions on the BLMIS customer statements were reconciled to a single transaction on the JPMC 703 statements and providing bates references to underlying source materials.	BLMIS Bank Records Customer Statements	12/4/2015
8-a	JPMC 509 Account Activity Summary	Chart aggregating information on cash activity in BLMIS's JPMC 509 account for the period December 1998–December 2008, including number and amount of transfers by type, transmittal method, and recipient.	BLMIS Bank Records Customer Statements	12/4/2015
8-b	JPMC 509 Account Activity Transaction Detail	Chart providing details and data used to create Trustee's proposed exhibit 8-a, including pertinent transaction details and bates references to underlying source materials.	BLMIS Bank Records Customer Statements	12/4/2015
8-c	Summary of Checks from JPMC 509 Account	Chart summarizing the check activity for the JPMC 509 account including [summary of] the amount and number of checks by type (<i>i.e.</i> , customer or non-customer) and transfer status (<i>e.g.</i> , cancelled, reconciled, unvalidated).	BLMIS Bank Records Customer Statements	12/4/2015
8-d	Reconciled BLMIS Customer Check Withdrawal Transactions from JPMC 509 Account	Chart providing additional details and data for reconciled BLMIS customer checks sent from the JPMC 509 Account, including pertinent transaction data such as check number, date, amount, payee, and the bates references for underlying source materials supporting the reconciliation.	BLMIS Bank Records Customer Statements	12/4/2015
8-e	Unreconciled BLMIS Customer Check Withdrawal Transactions	Chart listing checks identified on BLMIS customer statements for which a copy of the cancelled check from BLMIS's JPMC 509 Account was not available. The chart identifies the account name and number, date, amount, payee, and bates references to the BLMIS customer statement.	Customer Statements	12/4/2015
8-f	Non-BLMIS Customer Checks from JPMC 509 Account	Chart listing checks not reconciled to withdrawals from BLMIS customer accounts from BLMIS's JPMC 509 Account, including check number, date, amount, payee, and bates references to the check.	BLMIS Bank Records Customer Statements	12/4/2015
9-a	Bankers Trust 599 Account Activity Summary	Chart aggregating information on cash activity in BLMIS's Bankers Trust 599 account for the period December 1998–May 1999, including number and amount of transactions by type, transmittal method (<i>e.g.</i> , check or wire transfer, and source/recipient).	BLMIS Bank Records Customer Statements	12/4/2015

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9-b	Bankers Trust 599 Account Activity Transaction Detail	Chart providing details and data used to create Trustee's proposed exhibit 9-a, including pertinent transaction details and bates references to underlying source materials.	BLMIS Bank Records Customer Statements	12/4/2015
9-c	Bankers Trust 599 Account Activity Multiple Transaction Detail	Chart providing additional details and data used to create Trustee's proposed exhibit 9-a, detailing instances where multiple transactions on the BLMIS customer statements were reconciled to a single transaction on the JPMC 703 statements and providing bates references to underlying source materials.	BLMIS Bank Records Customer Statements	12/4/2015
10	List of All PW Transactions and Supporting Documents	Chart identifying each PW Transaction and providing pertinent transaction details including BLMIS account name and number, transaction date, amount, type (PW, CW, etc.), transaction description, bates references for underlying source documents for the transaction, and reconciliation results for the transaction with the Bates references to the documents supporting the results.	Customer Statements BLMIS Bank Records Customer Files Customer Produced Records	9/11/2015 10/30/2015 5/13/2016 8/2/2016
11	Reconciliation and Tracing Results - Ten Year Period PW Transactions	Chart identifying each Ten Year Period PW Transaction and providing pertinent transaction details including BLMIS account name and number, transaction date, amount, type (PW, CW, etc.), transaction description, bates references for underlying source documents for the transaction, and reconciliation and tracing results for the transaction with the Bates references to the documents supporting the results.	Customer Statements BLMIS Bank Records Customer Files Customer Produced Records	9/11/2015 10/30/2015 5/13/2016 8/2/2016
12	Listing of PW Transactions	Complete listing of all PW Transactions, sorted by BLMIS account number, and listed in date order, each of which was identified as a cash transaction when conducting the Principal Balance Calculation.	Customer Statements PMTs Spiral Notebooks	9/11/2015 10/30/2015 5/13/2016 8/2/2016
13	List of BLMIS Accounts Impacted by PW Transactions - Direct Accounts	Chart identifying all direct BLMIS accounts impacted by PW Transactions, including BLMIS account number and name, adversary proceeding number, account type (direct or related direct), number of PW transactions appearing in the account, and the total amount of the PW transactions.	Customer Statements PMTs Spiral Notebooks	9/11/2015 10/30/2015 5/13/2016 8/2/2016
14	List of BLMIS Accounts Impacted by PW Transactions - Indirect Accounts	Chart identifying all indirect BLMIS accounts impacted by PW Transactions, including the BLMIS account number, name, and adversary proceeding number, and identifying the account name and number for related direct BLMIS accounts.	Customer Statements PMTs Spiral Notebooks	9/11/2015 10/30/2015 5/13/2016 8/2/2016

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15	Post-November 30, 1995 Reported Trading Summary for PW Transactions with Check + Stock Name	Reconciliation of Check + Stock Name PW Transactions to reported trading for all accounts from December 1995 to December 11, 2008.	Customer Statements	9/11/2015 10/30/2015 5/13/2016 8/2/2016
16	Reported Trading Summary for PW Transactions for Participating Accounts and Supporting Documents	Reconciliation of PW Transactions to reported trading for all Participating Accounts from November 1978 to December 11, 2008.	Customer Statements PMTs Spiral Notebooks	9/11/2015 10/30/2015 5/13/2016 8/2/2016
17	List of PW Transactions in Spiral Notebooks and Customer Statements	Chart of PW Transactions supported by both the BLMIS Customer Statements and Checks Out Spiral Notebooks.	Customer Statements Spiral Notebooks	5/16/2016
18 to 23	Purported Equity Analysis for BLMIS Accounts 1B0022, 1B0023, 1B0033, 1B0034, 1B0036, 1B0115	Analysis of the fictitiously reported monthly gains and the purported equity balance during the lifetime of BLMIS Accounts 1B0022, 1B0023, 1B0033, 1B0034, 1B0036, 1B0115.	Customer Statements	9/11/2015 10/30/2015 5/13/2016 8/2/2016
24 to 24-208	Principal Balance Calculations for the 208 PW Impacted BLMIS Accounts	Individual Principal Balance Calculations prepared for the 54 Participating Accounts, 83 Related Direct Accounts, and 71 Non-PW Accounts Making Inter-Account Transfers into the Participating and Related Direct Accounts Impacted by PW Transactions.	Customer Statements PMRs PMTs Spiral Notebooks	9/11/2015 10/30/2015 5/13/2016 8/2/2016